



Office of Enterprise Compliance Report - Matrix
June 2006

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Personnel Update	Ongoing	Selection and appointment of Staff Services Analyst. Ongoing recruitment for Compliance Area Manager for Health.
2. Program Activities	Ongoing	CCO participated as a panel speaker in presenting "How to mitigate your organization's risk through a partnership between Internal Audits and Compliance" to the national "Association of Public Pension Auditors." CCO provided the CalPERS Compliance Concept paper to 20 state pension plans.
3. Reporting Non-Compliance Issues	Ongoing	Ongoing.
4. Regulatory Review	June 2005	Review of proposed rules, regulations and applicability to CalPERS on a monthly basis. Review conducted and no significant items to report for April and May 2006. Office of Enterprise Compliance staff conducted a review of policy related process training and procedure manual from Member and Benefit Services Branch.



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5. Compliance Area Manager - Investments	Ongoing	Monitoring pre and post execution of trades daily. Weekly reporting on compliance summary to CCO. Monthly report of user access reviewed. CCO attended course titled "Risks Associated with Real Estate Contracts." Course included sections on Property Management Contracts, Core Real Estate Advisor Contracts, and Construction Contracts.
6. Compliance Area Manager – Health	Ongoing	Office of Enterprise Compliance and CalPERS HIPAA Officer participated in a demonstration of Intranet based website that would provide information to CalPERS staff and serve as a best practice compliance guide on the Health Insurance Portability and Accountability Act internal HIPAA web portal with CalPERS HIPAA Officer.